For a better tomorrow

- ❑ Photocopy of National ID Card of POA holder (Photocopy of National ID Card has to attested with the same signature as per the Original Copy)
- ❑ 300 Stamp must be notarized describing the tasks that POA holder will be eligible to do with Fareast Islami Securities Ltd. on behalf of the account holder(s). **(For Individual Account Only)**
- ❑ **In case of Joint Account**, if POA holder is any third party then 300/- Stamp must be notarized describing the tasks that POA holder will be eligible to do with Fareast Islami Securities Ltd. on behalf of the account holders

**Company Account :**

- ❑ Photocopy of National ID Card of Managing Director or CEO of the Company (Photocopy of National ID Card has to attested with the same signature as per the Original Copy)
- ❑ 3 (Three) Copies of Attested Photograph of the Signatories & photocopies of National ID card attested with the same signature as per the original copy
- ❑ Board Resolution regarding opening of the account with Fareast Islami Securities Ltd. and appoint the Power of Attorney (POA) holder to operate on behalf of the Company (Attested by Company Secretary or Authorized Signatory)
- ❑ Copy of Memorandum & Article of Association certified by RJSC
- ❑ 2 Copies of Passport Size Color photographs (Lab Printed) of the POA holder (Attested by the Authorized Signatories)
- ❑ Photocopy of National ID Card of POA holder (Photocopy of National ID Card has to be attested with the same signature as per the Original Copy)
- ❑ TIN (Tax Certificate)
- ❑ Trade license & Incorporation Certificate

All the required/supporting documents submitted to Fareast Islami Securities Ltd. during account opening must be attested by Authorized Signatories/Account Holder(s).

6. **POA Holder :**

   - Any employee of Fareast Islami Securities Ltd. cannot be appointed as Power of Attorney (POA) Holder.

7. **Mode of Instruction:** All instructions shall be made in written form.

8. **Amendment of Rules:** Fareast Islami Securities Ltd. reserves the right to amend the present rules at any time in any manner with or without giving prior notice to the Accountholder(s) individually or to the public.

1-7 Margin Agreement has to be signed properly before availing Loan

1-8 The account holder(s) must show his/her latest portfolio to AR before placing an order.

1-9 The Client shall be eligible to purchase only the securities approved by the Management.

1-10 The Management may, at its discretion, from time to time, add new securities to or remove existing securities from the list of approved securities.

1-11 The client cannot sale any instrument until that instrument is saleable / matured.

1-12 The client shall only be allowed to buy a particular instrument if he/she has purchase power to buy that instrument

1-13 The Client has to sign pay in slip and trade confirmation after execution of his/her given order.

1-14 The AR upon acceptance of an order shall undertake necessary steps to execute such orders of the Client. If the Means of Communication is not satisfactory, the AR shall not be obliged to comply with such order but revert back to the Client for clarification.

1-15 If the value of an order of the Client exceeds the purchasing power of the Client, which will be determined by the available cash and Margin Loan in the account, the AR shall have the discretion either to reject the order or modify the order by reducing the order quantity and/or eliminating a specific order.

1-16 The sole responsibility for making investment decisions shall be on the Client and the AR will not make any discretionary investment decisions for or on behalf of the Client.

1-17 The AR shall under no circumstances be responsible or liable for any result whether adverse or otherwise of the investment decisions of the Client.

1-18 The client acknowledges that the AR neither guarantees any rate of return or profit from any investment to the Client nor shall be responsible for any losses caused to the Client due to fluctuation of share price in the capital market.

1-19 The Client may from time to time appoint or remove any person as Authorized Person/Power of Attorney holder to act on behalf of the client.

ক্লায়েন্ট কর্তৃক বিনিয়োগ বুঝিয়ে দেওয়া / ক্লায়েন্ট কর্তৃক বিনিয়োগ গ্রহণ

| Sl. | Particulars | Fees/Charges |
|---|---|---|
| 01 | Documentation Charge (Margin account only) | |
| 02 | Interest rate on margin loan<br>(to be charged Monthly for margin account) | |
| 03 | Brokerage Commission Cash/Margin | |
| 04 | B/O Account Opening Charge | |
| 05 | B/O Account Maintenance Fee | |
| 06 | CDBL Charge | |

- **Out of Pocket and any third party expenses:** Charges of Central Depository are to be levied according to the charging mechanism set by the Central Depository of Bangladesh Limited. These expenses include (but not limited to):

  a. Central Depository Fees.
  b. Other extra-ordinary communication costs.

**Article - 3 Nominee**

3-1 In the event of death of the Client, the nominee(s) as prescribed by the Client in the application form shall be the only person(s) recognized by the Management as being entitled to the assets of the portfolio.

3-2 In case nominee(s) are ot mentioned, the Management shall not be obliged to deal with any person or persons to accept any right, title or interest of any person unless such person is holding a valid succession certificate, probate or letter of administration covering the investment from a court of competent jurisdiction and subject to such further documents or evidence that the Management may require

## Article - 5 Physical Shares

5-1 If client wants to take his/her paper share from FISL then we will only deliver those shares to the account holder only for transferring the name of the share.

5-2 Any claim regarding Fraud, forgery or any related terms must be made within 7 (seven) days from receive of paper share.

5-3 FISL will only receive client's paper share within 6 (six) months of the delivery of the share from FISL.

5-4 In case of Paper Share withdrawal, client has to submit a share withdrawal requisition slip to the share department of FISL and his/her share will be delivered in the next working day. However, share delivery is fully dependable on the ledger balance of the client. If Ledger balance is negative then the client cannot withdraw his/her share (for cash account).

5-5 In case of Margin account, if client's purchase power is negative then he/she cannot withdraw any share from FISL. If client's purchase power is positive then he/she can withdraw his/her share from FISL.

## Article - 6 Termination of Agreement

6-1 This Agreement shall continue in force until terminated by either party giving to the other not less than 60 (Sixty) Business Days' notice in writing provided that either party may upon serving written notice to terminate this Agree ment in occurrence of any one of the following events:

(a) The other party is in breach of any material terms of this Agreement, which shall not have been remedied within (Fifteen) days after serving of written notice requiring the breach to be remedied.

(b) The other party shall go into bankruptcy or liquidation, a resolution is passed for its winding up or a receiver or similar officer is appointed over any assets of the party.

(c) Occurrence of any other matter that causes permanent disability for the Manager to carry out the portfolio management functions.

| | | |
|---|---|---|
| Second Applicant | | |
| Third Applicant<br>(Ltd. Co. Only) | | |

(For and on behalf of Fareast Islami Securities Limited)

| | | |
|---|---|---|
| Authorized Person | Signature | Seal |

Present Address :

Permanent Address :

Joint applicant (Second account holder) :

Father's/Husband's Name :

Mother's Name :

Present Address :

Permanent Address :

| | | | | | |
|---|---|---|---|---|---|
| Age | | Nationality | | Telephone | |
| Sex | | TIN | | Fax | |
| | | Passport No. | | E-mail | |

| | | |
|---|---|---|
| Whether the applicant is an Officer or Director of any Stock Exchange/Listed Company? | YES ( ) | NO ( ) |
| If yes, Name of the stock Exchange/listed company | | |

| | |
|---|---|
| Name of the second account holder | Signature |

FOR OFFICIAL USE ONLY)

| Checked & Verified by | Recommended | Approved by |
|---|---|---|

Address .....

City ..... Post Code ..... State / Division ..... Country ..... Telephone .....

Mobile Phone ..... Fax ..... E mail .....

## 3. Passport Details :

Passport No ..... Issue Place ..... Issue Date ..... Expiry Date .....

## 4. Bank Details :

Bank Name ..... Branch Name ..... Account No .....

Routing No : | | | | | | | | | |

Electronic Dividend Credit: Yes ☐ No ☐ Tax Exemption if any: Yes ☐ No ☐ TIN / Tax ID : .....

## 5. Others Information :

**Residency:** Resident ☐ Non Resident ☐ Nationality ..... Date of Birth (DDMMYYYY) | | | | | | | | |

Statement Cycle Code Daily ☐ Weekly ☐ Fortnightly ☐ Monthly ☐ Other (Please Specify) .....

Internal Ref. No (To be filled in by CDBL Participant ) .....

**In Case of Company:**

Registration No .....

Date of Registration (DDMMYYYY) | | | | | | | | |

## 6. Joint Applicant (Second Account Holder)

Name in Full (Up to 99 Characters) .....

Short Name of Account Holder's ( Insert full name starting with Title i.e. Mr. / Mrs. / Ms / Dr, abbreviate only if over 30 characters) Title i.e. M. Mrs Ms/ Dr

| | | | | | | | | |

## 12. Standing Instructions :

I/We authorize you to receive facsimile (fax) transfer instructions for delivery. Yes ☐ No ☐

## 13. DECLARATION :

The rules and regulations of the Depository and CDBL Participant Pertaining to an account which are in force now have been read by me/ us and I/we have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/We also declare that the particulars given by me/us are true to the best of my/our knowledge as on the date of making such application. I/We further agree that any false/misleading information given by me/us or suppression of any material fact will render my/our account liable for termination and further action.

| Applicant(s) | Name | Signature |
|---|---|---|
| First Applicant | | ✓ |
| Second Applicant | | |
| 3rd Signatory (Ltd. Co. Only) | | |

## 14. Special Instructions on operation of Joint Account

☐ Either or Survivor ☐ Any one can operate ☐ Any Two will operate Jointly

☐ Account will be operated by _____ with any one of the others.

## 15. Introduction

Introduction by an existing account holder of ..... **Fareast Islami Securities Limited** .....
Depository Participant's Name

I confirm the identity, occupation and address of the applicant(s)..... .....
Introducer's Name

..... Account ID ☐ ☐☐☐☐☐☐☐☐ ☐☐☐☐☐☐☐☐ ☐
(Signature of Introducer)

সকল ধারার বিস্তারিত বুঝিয়া ফরম পূরণ করিবেন।

(c) Title to the securities submitted to the CDBL Participant from time to time for dematerialization;
(d) Ensuring at all times that the securities to the credit of my/our account are sufficient to meet the instructions issued to the CDBL Participant for effecting any transaction / transfer;
(e) Informing the CDBL Participant at the earliest of any changes in my/our account particulars such as address, bank details, status, authorizations, mandates, nomination, and signature, etc.;
(f) Furnishing accurate identification details whilst subscribing to any issue of securities.

5. I/we shall notify the CDBL Participant of any change in the particulars set out in the application form submitted to the CDBL Participant at the time of opening the account or furnished to the CDBL Participant from time to time at the earliest. The CDBL Participant shall not be liable or responsible for any loss that may be caused to me/us by reason of my/our failure to intimate such change to the CDBL Participant at the earliest.

6 Where I/we have executed a BO Account Nomination Form :

a) In the event of my/our death, the nominee shall receive/draw the securities held in my/our account
b) In the event, the nominee so authorized remains a minor at the time of my/our death; the legal guardian is authorized to receive/draw the securities held in my/our account.
c) The nominee so authorized, shall be entitled to all my/our account to the exclusion of all other persons i.e., my/our heirs, executors and administrators and all other persons claiming through or under me/us and delivery of securities to the nominee in pursuance of this authority shall be binding on all other persons.

7. I/we may at any time call upon the CDBL Participant to close my/our account with the CDBL Participant provided no instructions remain pending or unexecuted and no fees or charges remain payable by me/us to the CDBL Participant. In such event I/we may close my/our account by executing the Account Closing Form if no balances are standing to my/our credit in the account. In case any balances of securities exist in the account the account may be closed by me/us in one of the following ways:

(a) By rematerialization of all existing balances in my/our account;
b) By transfer of all existing balances in my/our account to one or more of my/our other account(s) held with any other CDBL Participant(s);
(c) By rematerialization of a part of the existing balances in my/our account and by transferring the rest to one or more of my /our other account(s) with any other CDBL Participant(s);

of the CDBL Participant and shall endeavor to resolve the same at the earliest

9. The CDBL Participant shall be entitled to terminate the account relationship in the event of the Account Holder:

(a) Failing to pay the fees or charges as may be mutually agreed upon within a period of one month from the date of demand made in that behalf;

(b) Submitting for dematerialization any certificates or other documents of title which are forged, fabricated, counterfeit or stolen or have been obtained by forgery or the transfer whereof is restrained or prohibited by any direction, order or decree of any court or the Bangladesh Securities and Exchange Commission;

(c) Commits or participates in any fraud or other act of moral turpitude in his / its dealings with the CDBL Participant;

(d) Otherwise misconducts himself in any manner

10. Declaration and Signature :

I/we hereby acknowledge that I/we have read and understood the aforesaid terms and conditions for operating Depository Account (BO Account) with CDBL Participant and agree to comply with them.

| Applicant(s) | Full Name | Signature with Date |
|---|---|---|
| First Applicant | | ✓ |
| Second Applicant | | |
| Third Applicant (Ltd. Co. Only) | | |

Address

.....

City ..... Post Code ..... State / Division ..... Country ..... Telephone .....

Mobile Phone ..... Fax ..... E-mail .....

Passport No ..... Issue Place ..... Issue Date ..... Expiry Date .....

Residency: Resident ☐ Non Resident ☐ Nationality ..... Date of Birth (DDMMYYYY) ☐☐☐☐☐☐☐☐

**Guardian's Details (If Nominee is a Minor)**

Name in Full

.....

Short Name of Guardian ( **Insert full name starting with Title i.e. Mr. / Mrs. / Ms / Dr, abbreviate only if over 30 characters**)

☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

Relationship with Nominee : ..... Date of Birth of Minor (DDMMYYYY) ..... Maturity Date of Minor(DDMMYYYY) .....

Address

.....

City ..... Post Code ..... State / Division ..... Country ..... Telephone .....

Mobile Phone ..... Fax ..... E-mail .....

Passport No ..... Issue Place ..... Issue Date ..... Expiry Date .....

Residency: Resident ☐ Non Resident ☐ Nationality ..... Date of Birth (DDMMYYYY) ☐☐☐☐☐☐☐☐

Address .....

City ..... Post Code ..... State / Division ..... Country ..... Telephone .....

Mobile Phone ..... Fax ..... E-mail .....

Passport No ..... Issue Place ..... Issue Date ..... Expiry Date .....

Residency: Resident ☐ Non Resident ☐ Nationality ..... Date of Birth (DDMMYYYY) ☐☐☐☐☐☐☐☐

## Photograph of Nominees / Heirs

| Please paste recent passport size Photograph | Please paste recent passport size Photograph | Please paste recent passport size Photograph | Please paste recent passport size Photograph |
|---|---|---|---|
| Nominee / Heir 1 | Nominee / Heir 2 | Guardian 1 | Guardian 2 |

| | Name | Signature |
|---|---|---|
| Nominee / Heir 1 | | ✗ |
| Guardian 1 | | |
| Nominee / Heir 2 | | |
| Guardian 2 | | |
| First Account Holder | | ✓ |
| Second Account Holder | | |
| Third Account Holder (Ltd. Co. Only) | | |

সোনার বাংলার বিনিয়োগ পুরীদপুর। ভোরে ও দুরে বিনিয়োগ করুন।

10. Present Address :

11. Permanet Address :

12. Contact :

13. Bank details :

14. Name & Address of the introducer :

15. Monthly Income :

16. Information of Beneficiary Owner : of the account (in case of the Company, detail information of the controlling shareholder & individual shareholder having 20% or more share

17. Driving License; if any : (Verified copy enclosed)

Non-resident and foreigner account.
Nature of VISA (Residence/Work)

24. Is the deposit amount match with the depositor's occupation? Yes ☐ No ☐

25. Politically Exposed Persons (PEPs)
   - ❑ Has the approval been obtained from the senior Management ? Yes ☐ No ☐
   - ❑ Has any face to face intervew of the customer been taken ? Yes ☐ No ☐

26. Source of wealth :

✓

**Signature of the principal account holder**

**Signature of the joint account holder**